



**FOR IMMEDIATE RELEASE**  
**November 16, 2011**

**Contact:** Kristin Hagerman  
Tel: 603-647-8606 E-Mail: [Kristinh@evradvertising.com](mailto:Kristinh@evradvertising.com)

**MEDIA ADVISORY:**  
**REGULATORY COMPLIANCE CELEBRATES GRAND OPENING OF BOSTON OFFICE**

[**Note To Media:** Leadership from Regulatory Compliance will be available for photos and interviews. Light refreshments will also be served.]

**What:**

Regulatory Compliance, LLC, a leader in products and services for Securities Broker Dealers and Registered Investment Advisers, is celebrating the grand opening of its 2,290 square foot office in Boston's Financial District. The company recently expanded to serve its existing and growing national client base.

**Who:**

Steve Sussman, President/CEO of Regulatory Compliance

**When:**

Thursday, November 17<sup>th</sup> from 4pm – 7pm.

**Where:**

10 High Street, 10<sup>th</sup> Floor, Boston, MA

With locations already established in New York, New Hampshire and California, Regulatory Compliance has secured the Boston location to better serve their growing list of national clientele while continuing to maintain strong relationships with FINRA, THE SEC and other regulators. The organization's knowledgeable staff services over 100 customers directly from the Boston office in addition to Regulatory Compliance's entire client base.

The Boston office offers all services including investment adviser compliance consulting and registrations. The organization's examination and financial departments are also based in Boston.

**About Regulatory Compliance**

Since 1989, Regulatory Compliance has provided full-service compliance consulting services to broker dealers and investment advisers including FINRA and SEC applications for membership. Regulatory Compliance also provides financial operations & accounting consulting (FINOP) as well as internal compliance examinations (AML, branch, mock SEC/FINRA), continuing education for Firm Element and Series training materials, compliance & procedures manuals and much more. Find us at: [www.RegulatoryCompliance.com](http://www.RegulatoryCompliance.com) (888) 734-2667 toll free.

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